

# AML SAAS ESSENTIALS

## Cloud Based Anti-Money Laundering Solutions

# ESSENTIAL ANTI-MONEY LAUNDERING COMPLIANCE CAPABILITIES

## GROWING COMPLIANCE BURDENS FOR FINANCIAL INSTITUTIONS OF ALL SIZES

As recent headlines have shown, regional and community financial institutions are beginning to face increased regulatory scrutiny and fines once reserved exclusively for larger, international banks. Financial institutions (FIs) of all sizes are expected to have comprehensive customer visibility, centralized processes, and the ability to prove solution efficacy. Automated AML compliance solutions are practical, cost-effective, and meet all regulatory requirements while working with limited resources.

### INDUSTRY RANKINGS AND ACCOLADES

watersrankings<sup>1</sup> 2016

 ChartisResearch



*Named Best AML Compliance Solution Provider Three Years in a Row (2014, 2015, 2016)*

*"Category Leader," Financial Crime Management Systems for Enterprise Fraud, Anti-Money Laundering and Transaction Monitoring, KYC and Client Onboarding, and Transaction Monitoring, KYC and Client Onboarding, and Watchlist Monitoring (2016)*

*Best AML/Anti-Fraud Solution (2015)*

## ACTIMIZE AML SAAS ESSENTIALS

The Actimize AML SaaS Essentials solution provides essential, end-to-end packaged AML compliance capabilities designed specifically for the unique needs regional and community FIs, leveraging the insights and experience gained from more than a decade of experience supporting the world's largest banks and FIs. Built on the principles of a SaaS model, secure cloud-based delivery enables rapid deployment, reduced operational overhead, and low TCO that is well suited for these financial institutions.

### ACHIEVE ROCK SOLID COMPLIANCE

Targeted models, rules, profiles, and advanced analytics combined with built-in, industry specific detection, workflows, and investigation tools provide seamless AML compliance. Actimize AML Essentials monitors transaction activity, enables ongoing customer due diligence, ensures sanctions screening, and provides case management and oversight.

### CONTAIN COMPLIANCE OVERHEAD

Actimize's secure, cloud-based delivery and subscription model ensures cost predictability and shortens time to value. Rules and analytics based detection capabilities, refined risk-scoring, and automated workflow processes deliver cost savings via improved operational efficiencies.

### ESTABLISH A FOUNDATION FOR GROWTH

Leverage the proven technology platform and robust administration tools that power the processing of hundreds of millions of customer transactions across Actimize's customer base. With regular updates for regulatory changes and easy expansion for future growth, institutions of all sizes can be assured of their ability to keep pace with the future of AML requirements.

# AML SAAS ESSENTIALS – WE’VE GOT YOU COVERED

For more than a decade we’ve been supporting the AML needs of large, complex financial institutions and have come to understand what regulators want, and what FIs need. Combining this insight with our understanding of the unique challenges faced by rapidly growing financial institutions, we’ve developed solution that comprehensive, effective, and cost-efficient.

## COMPLETE AML COVERAGE

Leverage integrated, end-to-end AML coverage from transaction monitoring, customer onboarding, ongoing CDD/EDD and watch list filtering, to reporting and SAR filing. This complete solution covers all bases.

## PROVEN RULES & ANALYTICS BASED DETECTION

Field proven rules combined with anomaly-based detection ensure effective and accurate performance and low false positive ratios.

## COMPREHENSIVE ALERT AND CASE MANAGEMENT

Provide accurate alert prioritization, efficient alert management, ad-hoc investigations, management reporting, and audit tracking through Actimize Risk Case Manager. Predefined workflows ensure investigations are resolved effectively and according to compliance protocols and AML best practices.

## RAPID TIME TO VALUE

Centrally hosted and highly secure cloud based service model enables implementation in weeks to months. Scenario-based detection allows the system to start delivering results the moment it’s turned on.

## LOW TOTAL COST OF OWNERSHIP

Cloud based service model reduces software, hardware, maintenance and support costs. Improved system viability and immediate access to the latest technology upgrades ensures system reliability.

### Actimize Anti-Money Laundering Solutions



**SUSPICIOUS  
ACTIVITY  
MONITORING**



**WATCH LIST  
FILTERING**



**CUSTOMER  
DUE DILIGENCE**

**Analytics Intelligence Server**

**Risk Case Management**

Financial Crime Risk Platform

# You're in the business of keeping customers happy, but have to manage the burden of keeping the regulators satisfied at the same time. Actimize AML SaaS Essentials can help.

- **Trust** – We've been leaders in AML for a decade and are trusted by the largest banks in the world, as well as regulators. We are consistently recognized for our market leadership.
- **Partnership** – Providing our clients with the information and access they need to succeed is a priority. We work hard to expediently address customer support needs, and pride ourselves on the additional support clients receive through their engagement in our active user community.
- **Expertise** – We know the regulatory requirements cold, and develop and maintain our technology to allow our clients to stay ahead of regulatory requirements.
- **Efficiency** – Our cloud-based solution delivery means rapid deployment and overall lower TCO, allowing you to predict and control costs, without sacrificing security.
- **Effectiveness** – Our combination of rules & analytics based detection means the system can start working from the moment it is turned on, delivering high quality alerts and fewer false positives.

## WHY NICE ACTIMIZE

- Fully integrated offering supports single, customer-centric view of AML risk
- Designed for end-to-end AML business lifecycle management
- Packaged offerings across retail & correspondent banking, securities, and insurance
- Used by 170+ financial institutions in over 30 countries around the world
- Consistently ranked as market-leading anti-money laundering technology

## ABOUT NICE ACTIMIZE

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NICE Actimize is the largest and broadest provider of financial crime, risk and compliance solutions for regional and global financial institutions, as well as government regulators. Consistently ranked as number one in the space, NICE Actimize experts apply innovative technology to protect institutions and safeguard consumers and investors assets by identifying financial crime, preventing fraud and providing regulatory compliance. The company provides real-time, cross-channel fraud prevention, anti-money laundering detection, and trading surveillance solutions that address such concerns as payment fraud, cybercrime, sanctions monitoring, market abuse, customer due diligence and insider trading.

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